



上海交通大学
SHANGHAI JIAO TONG UNIVERSITY

Happy 88th birthday to Professor Akito Arima!

原子核
简单 对称 美

原子核科学国际会议：简单-对称-美

恭贺 有马朗人 先生 米寿

上海，2018年9月26日-28日



International Symposium of Nuclear Science: Simplicity, Symmetry, and Beauty

In honor of the Rice (米) Age of Professor Akito Arima

September 26-28, 2018, Shanghai



F P

“福如東海，壽比南山！”

(may your fortune be as boundless as the East Sea and
may you live a long and happy life)



PHYSICAL REVIEW C 90, 064303 (2014)

I^4 dependence in nuclear symmetry energy

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PHYSICAL REVIEW C 91, 054302 (2015)

Model dependence of the I^4 term in the symmetry energy for finite nuclei

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PHYSICAL REVIEW C 94, 064301 (2016)

Robustness of the I^4 symmetry energy coefficient

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Nuclear Matter Equation of State and Neutron Star Properties

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- Nuclear matter EOS and the symmetry energy (Esym)
- High density nuclear matter from neutron star
largest mass + tidal deformability from GW170817
- Summary and outlook

“International Symposium on Simplicity, Symmetry and Beauty of Atomic
Nuclei, in honor of Professor Akito Arima’s 88 year-old birthday (米寿)”,
September 26-28, 2018, Shanghai, China



Outline

- Nuclear matter EOS and the symmetry energy (Esym)
- High density nuclear matter from neutron star
largest mass + tidal deformability from GW170817
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Liquid-drop model: Bethe-Weizsäcker mass formula (1935)

$$a_v A - a_s A^{2/3} - a_4 \frac{(N - Z)^2}{A} - a_c \frac{Z(Z - 1)}{A^{1/3}} + a_p \frac{\Delta(N, Z)}{A^{1/2}}$$

$$S_v \frac{(N_v - Z_v)^2}{A} + S_s \frac{(N_s - Z_s)^2}{A^{2/3}}$$

Symmetry energy term
(对称能项)

$$a_v A - a_s A^{2/3} - \frac{S_v}{1 + y_s A^{-1/3}} \frac{(N - Z)^2}{A} - a_c \frac{Z(Z - 1)}{A^{1/3}} + a_p \frac{\Delta(N, Z)}{A^{1/2}}$$

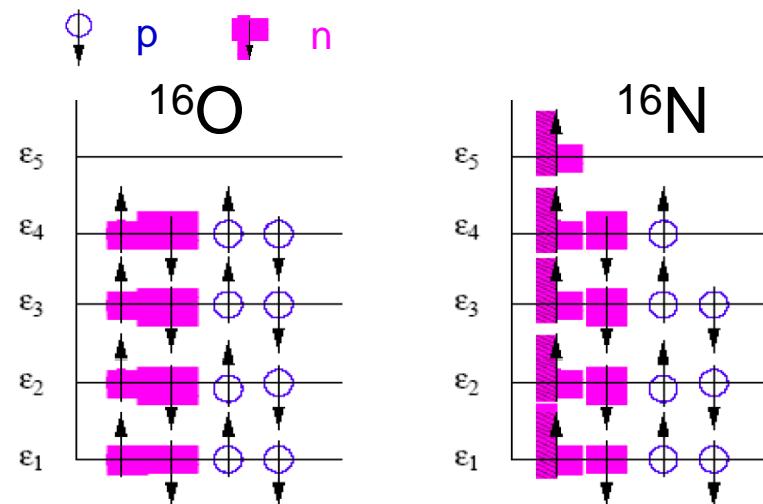
Symmetry energy including surface diffusion effects ($y_s = S_v/S_s$)



The Symmetry Energy of Finite Nuclei

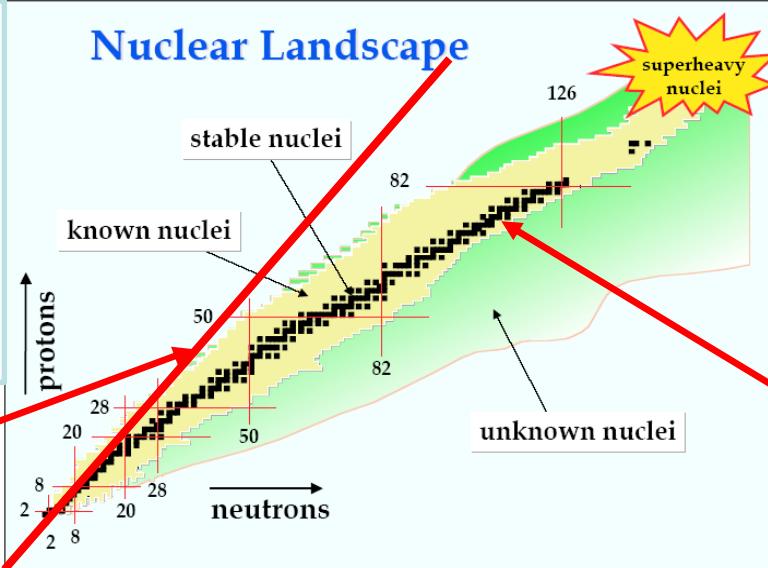
$$a_4(N - Z)^2 / A$$

Pauli Exclusion Principle



Symmetry Energy:
Tends to **symmetrize**
the **n** and **p** numbers
in the nuclei
(isospin symmetry)

$$N = Z$$



Coulomb Energy:
Tends to make
the nuclei have
more neutrons

$$N > Z$$

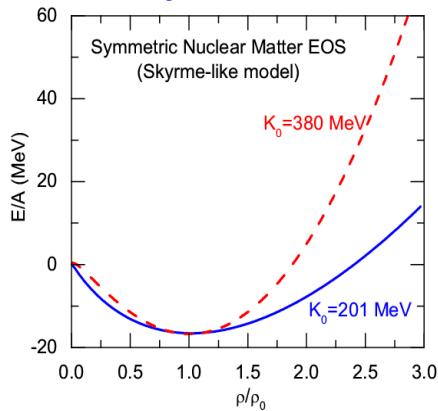


The Symmetry Energy of Nuclear Matter

EOS of Isospin Asymmetric Nuclear Matter (Parabolic law)

$$E(\rho, \delta) = E(\rho, 0) + E_{\text{sym}}(\rho) \delta^2 + O(\delta^4), \quad \delta = (\rho_n - \rho_p) / \rho$$

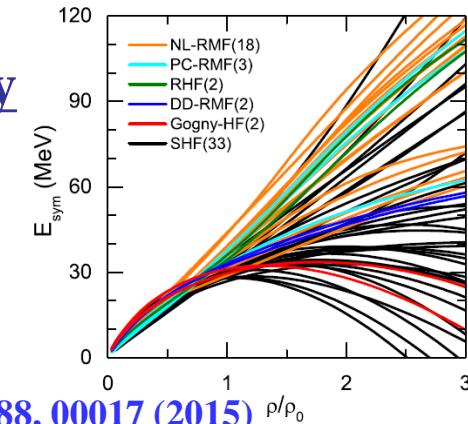
Symmetric Nuclear Matter
(relatively well-determined)



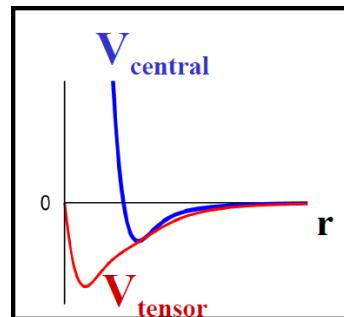
Isospin asymmetry
Symmetry energy term (poorly known)

Nuclear Matter Symmetry Energy

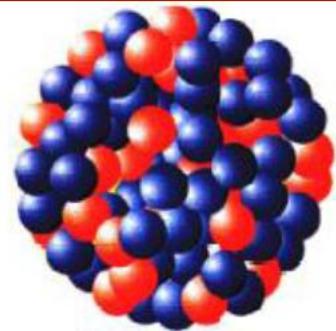
$$E_{\text{sym}}(\rho) \equiv \frac{1}{2} \frac{\partial^2 E(\rho, \delta)}{\partial \delta^2}$$



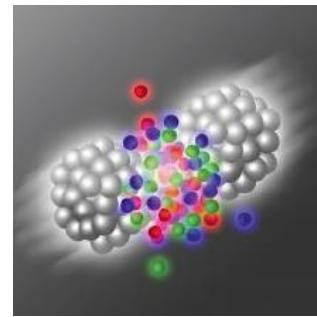
LWC, EPJ Web of Conf. 88, 00017 (2015)



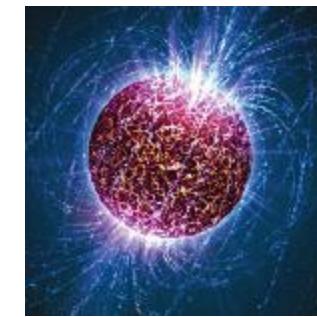
Nature of the nuclear force?



Structure and stability
of nuclei?



Dynamics of heavy
ion collisions?



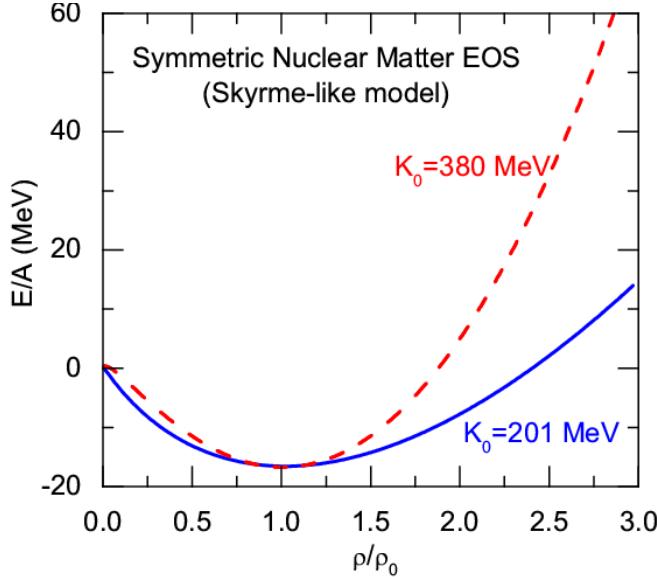
Nature of compact stars
and dense nuclear matter?



EOS of Symmetric Nuclear Matter

(1) EOS of symmetric matter around the saturation density ρ_0

$$E_0(\rho) = E_0(\rho_0) + \frac{K_0}{2!} \chi^2 + \frac{J_0}{3!} \chi^3 + \mathcal{O}(\chi^4) \quad \chi = \frac{\rho - \rho_0}{3\rho_0}$$



$$K_0 = 231 \pm 5 \text{ MeV}$$

Youngblood/Clark/Lui, PRL82, 691 (1999)

Recent results:

$$K_0 = 230 \pm 20 \text{ MeV}$$

U. Garg et al.

S. Shlomo et al.

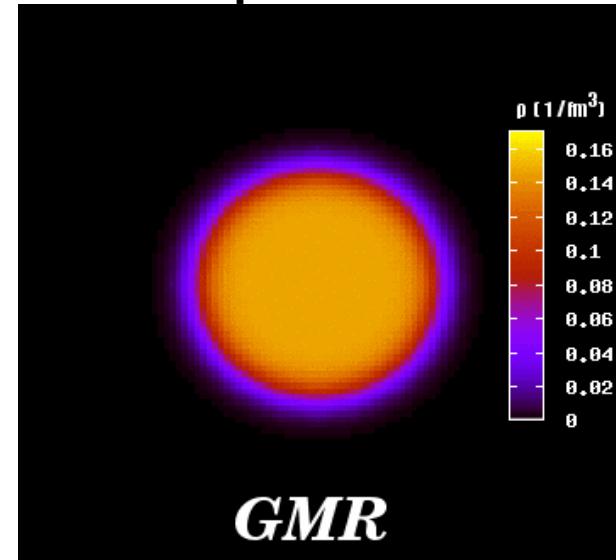
G. Colo et al.

J. Piekarewicz et al.

Incompressibility:

$$K_0 = 9\rho_0^2 \left(\frac{d^2 E}{d \rho^2} \right)_{\rho_0}$$

Giant Monopole Resonance



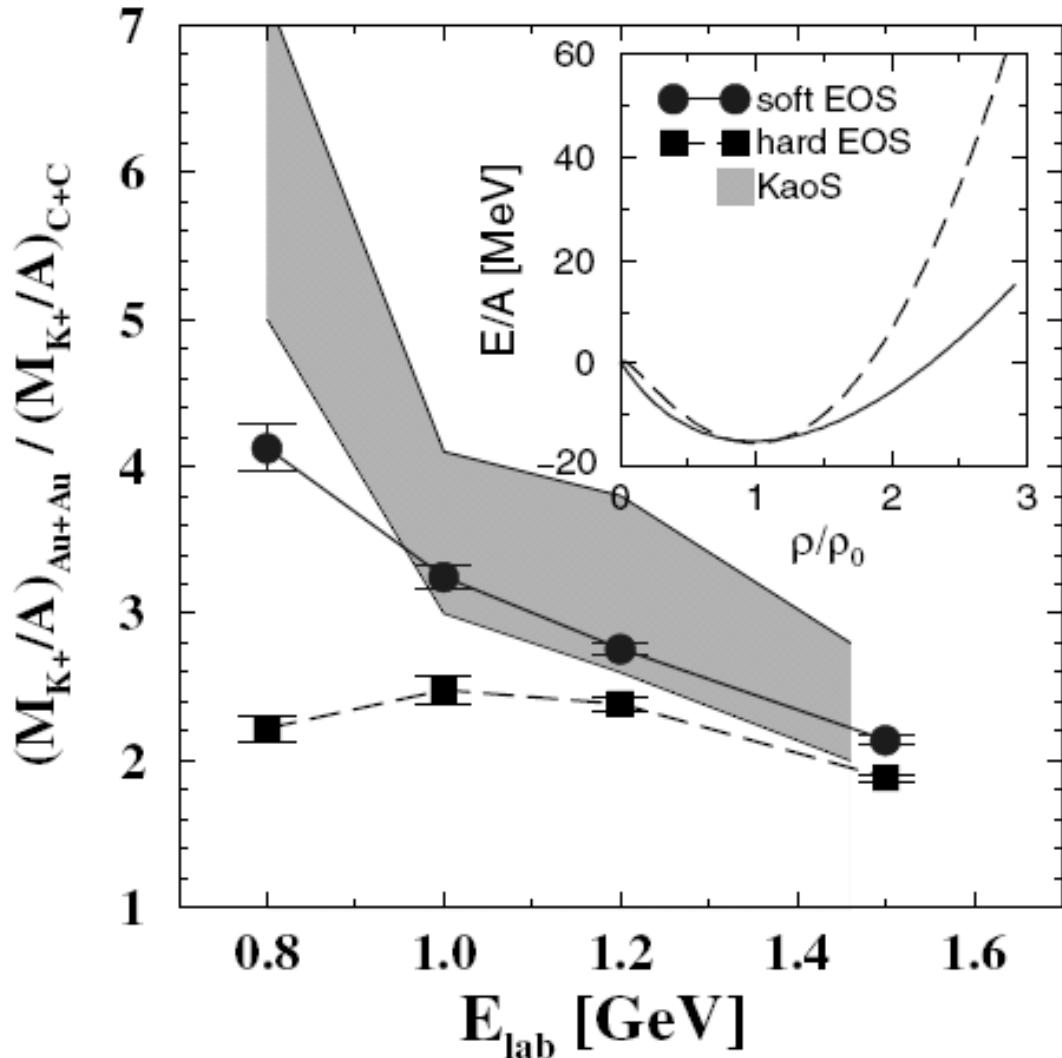
Frequency $f_{\text{GMR}} \propto \sqrt{K_0}$

Uncertainty of the extracted K_0 is mainly due to the uncertainty of L (slope parameter of the symmetry energy) and m^*_0 (isoscalar nucleon effective mass)
(See, e.g., LWC/J.Z. Gu, JPG39, 035104(2012))



EOS of Symmetric Nuclear Matter

(2) EOS of symmetric matter for $1\rho_0 < \rho < 3\rho_0$ from K^+ production in HIC's



J. Aichelin and C.M. Ko,

PRL55, (1985) 2661

C. Fuchs,

Prog. Part. Nucl. Phys. 56, (2006) 1

C. Fuchs et al,
PRL86, (2001) 1974

Transport calculations indicate that “results for the K^+ excitation function in Au + Au over C + C reactions as measured by the KaoS Collaboration strongly support the scenario with a **soft EOS**.”

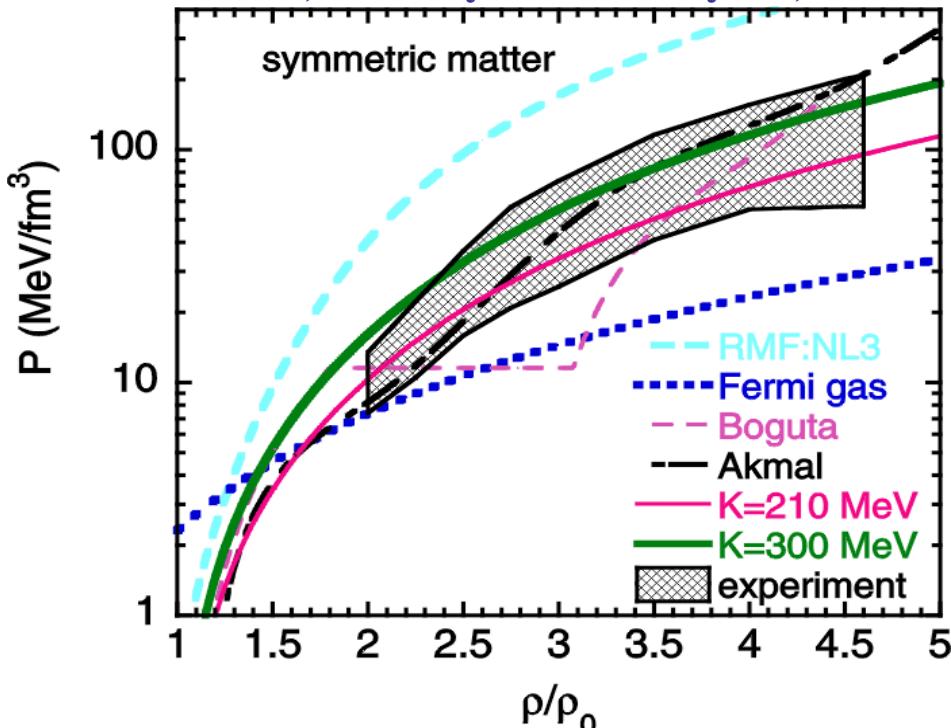
See also: C. Hartnack, H. Oeschler,
and J. Aichelin,
PRL96, 012302 (2006)



EOS of Symmetric Nuclear Matter

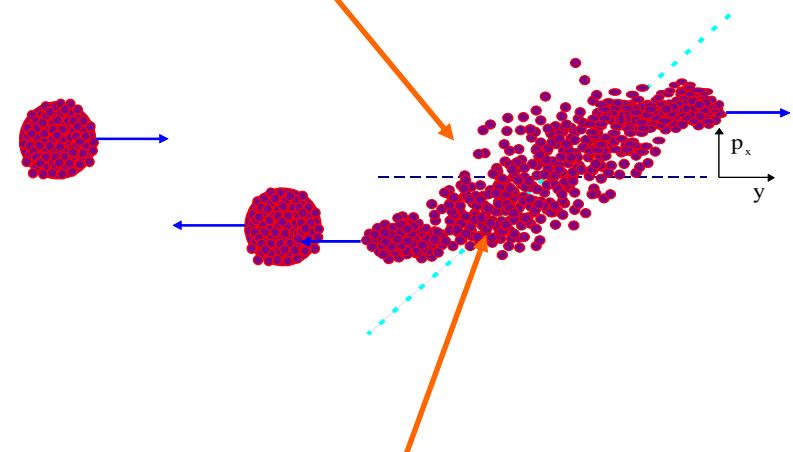
(3) Present constraints on the EOS of symmetric nuclear matter for $2\rho_0 < \rho < 5\rho_0$ using flow data from BEVALAC, SIS/GSI and AGS

P. Danielewicz, R. Lacey and W.G. Lynch, *Science* 298, 1592 (2002)



- ④ Use constrained mean fields to predict the EOS for symmetric matter
 - Width of pressure domain reflects uncertainties in comparison and of assumed momentum dependence.

The highest pressure recorded under laboratory controlled conditions in nucleus-nucleus collisions



High density nuclear matter
 $2 \text{ to } 5\rho_0$

$$\text{Pressure } P(\rho) = \rho^2 \left(\frac{\partial E}{\partial \rho} \right)_s$$



Esym: Experimental Probes

Promising Probes of the $E_{\text{sym}}(\rho)$ (an incomplete list !)

At sub-saturation densities (亚饱和密度行为)

- Sizes of n-skins of unstable nuclei from total reaction cross sections
- **Proton-nucleus elastic scattering in inverse kinematics**
- Parity violating electron scattering studies of the n-skin in ^{208}Pb
- n/p ratio of FAST, pre-equilibrium nucleons
- Isospin fractionation and isoscaling in nuclear multifragmentation
- Isospin diffusion/transport
- Neutron-proton differential flow
- **Neutron-proton correlation functions at low relative momenta**
- t/He^3 ratio
- **Hard photon production**
- Pigmy/Giant resonances
- Nucleon optical potential

Towards high densities reachable at CSR/Lanzhou, FAIR/GSI, RIKEN, GANIL and, FRIB/MSU (高密度行为)

- π^-/π^+ ratio, K^+/K^0 ratio?
- Neutron-proton differential transverse flow
- n/p ratio at mid-rapidity
- Nucleon elliptical flow at high transverse momenta
- n/p ratio of squeeze-out emission

B.A. Li, L.W. Chen, C.M. Ko
Phys. Rep. 464, 113(2008)



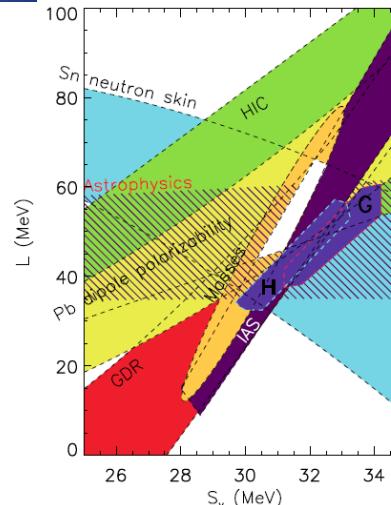
E_{sym} : Current Status

- There are MANY constraints on $E_{\text{sym}}(\rho_0)$ and L, essentially all the constraints seem to agree with:

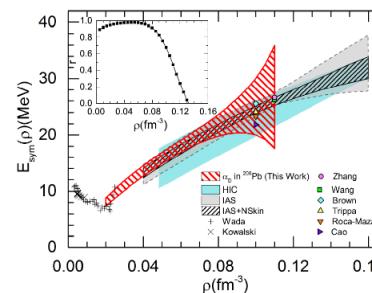
$$E_{\text{sym}}(\rho_0) = 32.5 \pm 2.5 \text{ MeV}$$
$$L = 55 \pm 25 \text{ MeV}$$

- The symmetry energy at subsaturation densities have been relatively well-constrained

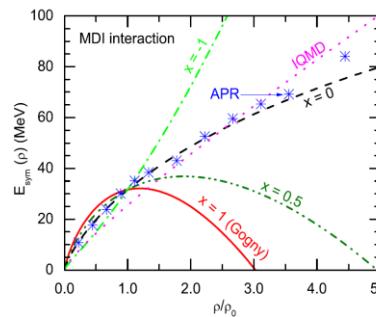
- Essentially all the constraints on the high density Esym come from HIC's (FOPI), and all of them are based on transport models. The constraints on the high density Esym are still elusive and controversial for the moment !!!



Lattimer/Steiner,
EPJA50, 40 (2014)



Z. Zhang/LWC,
PLB726, 234 (2013);
PRC92, 031301(R)(2015)



Xiao/Li/Chen/Yong/Zhang,
PRL102, 062502 (2009)



PHYSICAL REVIEW C 80, 014322 (2009)

Higher-order effects on the incompressibility of isospin asymmetric nuclear matter

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(Received 27 May 2009; published 30 July 2009)

$$E(\rho, \delta) = E_0(\rho) + E_{\text{sym}}(\rho)\delta^2 + E_{\text{sym},4}(\rho)\delta^4 + O(\delta^6)$$

$$E_0(\rho) = E_0(\rho_0) + \frac{K_0}{2!}\chi^2 + \frac{J_0}{3!}\chi^3 + \frac{I_0}{4!}\chi^4 + O(\chi^5)$$

$$\delta = (n_n - n_p)/n$$

$$E_{\text{sym}}(\rho) = E_{\text{sym}}(\rho_0) + L\chi + \frac{K_{\text{sym}}}{2!}\chi^2 + \frac{J_{\text{sym}}}{3!}\chi^3 + \frac{I_{\text{sym}}}{4!}\chi^4 + O(\chi^5)$$

$$\chi = \frac{\rho - \rho_0}{3\rho_0}$$

$$E_{\text{sym},4}(\rho) = E_{\text{sym},4}(\rho_0) + L_{\text{sym},4}\chi + \frac{K_{\text{sym},4}}{2}\chi^2 + \frac{J_{\text{sym},4}}{3!}\chi^3 + \frac{I_{\text{sym},4}}{4!}\chi^4 + O(\chi^5)$$

Order of the characteristic parameters according to the expansion with χ and δ :

Order-0: $E_0(\rho_0)$;

Order-2: $K_0, E_{\text{sym}}(\rho_0)$;

Order-3: J_0, L ;

Order-4: $I_0, K_{\text{sym}}(\rho_0), E_{\text{sym},4}(\rho_0)$



Order of the characteristic parameters according to the expansion with χ and δ :

Order-0: $E_0(\rho_0)$; Order-2: $K_0, E_{\text{sym}}(\rho_0)$;

Order-3: J_0, L ; Order-4: $I_0, K_{\text{sym}}(\rho_0), E_{\text{sym},4}(\rho_0)$

Order-0  $E_0(\rho_0) = -16 \pm 1 \text{ MeV}$

Order-2  $K_0 = 230 \pm 20 \text{ MeV}, E_{\text{sym}}(\rho_0) = 32.5 \pm 2.5 \text{ MeV}$

Order-3  $L = 55 \pm 25 \text{ MeV}, J_0 = ???$

Order-4  $I_0 = ???, K_{\text{sym}}(\rho_0) = ???, E_{\text{sym},4}(\rho_0) = ???$

□ $J_0 \approx [-464, -342] \text{ MeV}$ and $K_{\text{sym}} \approx [-175, -36] \text{ MeV}$:

Data of finite nuclei + Flow Data in HIC + Neutron Star Mass +
Tidal Deformability of Neutron Star (from recent GW170817 signal)



Outline

- Nuclear matter EOS and the symmetry energy (Esym)
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largest mass + tidal deformability from GW170817
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EOS of Neutron Star Matter

□ Core of the neutron stars consist of **infinite β -equilibrium npe μ matter with charge neutrality**. Its EoS is determined by nuclear calculations, e.g., the Skyrme-Hartree-Fock(SHF)/Relativistic Mean Field(RMF) approach

□ The inner crust $2.46 \times 10^{-4} \text{ fm}^{-3} = n_{\text{out}} < n < n_t$

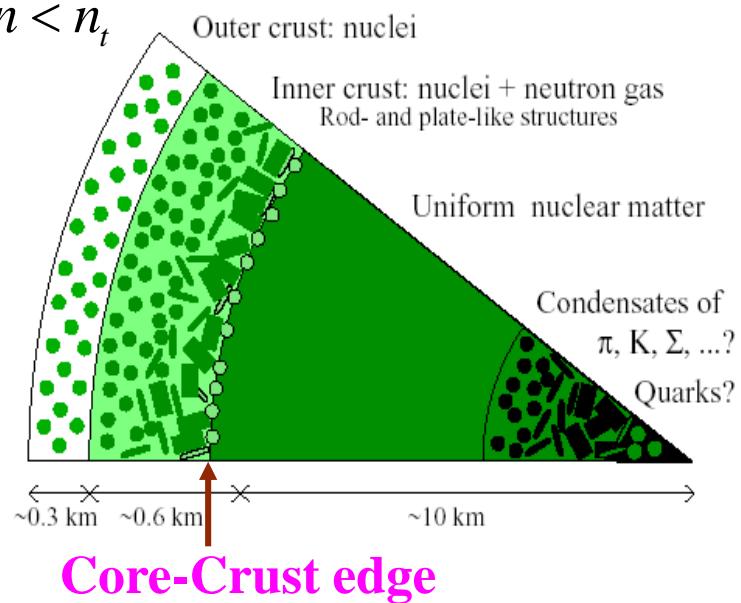
$$P = a + b \dot{r}^{4/3}$$

$$a = \frac{P_{\text{out}} \dot{r}_{\text{t}}^{4/3} - P_{\text{t out}}^{4/3}}{\dot{r}_{\text{t}}^{4/3} - \dot{r}_{\text{out}}^{4/3}} \quad b = \frac{P_{\text{t}} - P_{\text{out}}}{\dot{r}_{\text{t}}^{4/3} - \dot{r}_{\text{out}}^{4/3}}$$

□ The outer crust

$$6.93 \times 10^{-13} \text{ fm}^{-3} < n < n_{\text{out}} \text{ (EOS of BPS)}$$

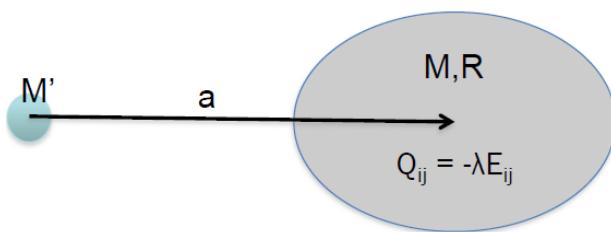
$$4.73 \times 10^{-15} \text{ fm}^{-3} < n < 6.93 \times 10^{-13} \text{ fm}^{-3} \text{ (EOS of Feynman-Metropolis-Teller)}$$





Tidal Deformability

Tidal Deformability (Polarizability) (oscillation response coefficient λ)



$$Q_{ij} = \lambda \mathcal{E}_{ij}$$

Q_{ij} : Quadrupole moment

\mathcal{E}_{ij} : Tidal field of companion

$$\lambda = \frac{2}{3} k_2 R^5$$

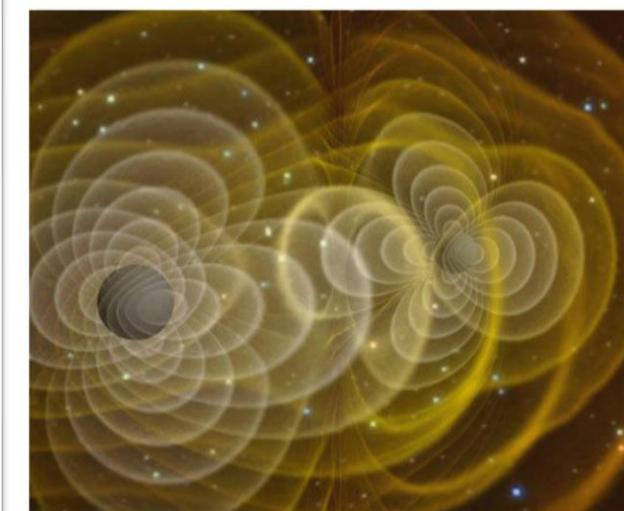
k_2 : Love number

R : Radius

M : Mass

Dimensionless Tidal Deformability

$$\Lambda = \frac{2}{3} k_2 (R / M)^5$$



Éanna É. Flanagan and Tanja Hinderer, Phys.Rev.D 77, 021502(R) (2008)

F.J. Fattoyev, J. Carvajal, W.G. Newton, and Bao-An Li, Phys. Rev. C 87, 015806 (2013)

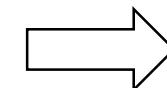


Tidal Deformability

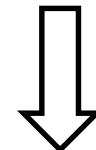
EOS

+

$$\begin{aligned}\frac{dy}{dr} &= -\frac{1}{r}[y^2 + yF(p, \varepsilon) + r^2Q(p, \varepsilon)] \\ \frac{dp}{dr} &= -\frac{(\varepsilon + p)(m + 4\pi r^3 p)}{r(r - 2m)} \\ \frac{dm}{dr} &= 4\pi r^2 \varepsilon\end{aligned}$$



$$\begin{array}{l} M \\ R \\ y_R \equiv y(R) \end{array}$$



$$\begin{aligned}k_2 &= \frac{1}{20} \left(\frac{R_s}{R} \right)^5 \left(1 - \frac{R_s}{R} \right)^2 \left[2 - y_R + (y_R - 1) \frac{R_s}{R} \right] \left\{ \frac{R_s}{R} \left(6 - 3y_R + \frac{3R_s}{2R} (5y_R - 8) \right) \right. \\ &\quad \left. + \frac{1}{4} \left(\frac{R_s}{R} \right)^3 \left[26 - 22y_R + \frac{R_s}{R} (3y_R - 2) + \left(\frac{R_s}{R} \right)^2 (y_R + 1) \right] \right. \\ &\quad \left. + 3 \left(1 - \frac{R_s}{R} \right)^2 \left[2 - y_R + (y_R - 1) \frac{R_s}{R} \right] \ln \left(1 - \frac{R_s}{R} \right) \right\}^{-1}\end{aligned}$$

$$F(r) = \frac{r - 4\pi r^3 [\mathcal{E}(r) - P(r)]}{r - 2M(r)},$$

$$\begin{aligned}Q(r) &= \frac{4\pi r \left[5\mathcal{E}(r) + 9P(r) + \frac{\mathcal{E}(r) + P(r)}{c_s^2} - \frac{6}{4\pi r^2} \right]}{r - 2M(r)} \\ &\quad - 4 \left\{ \frac{M(r) + 4\pi r^3 P(r)}{r[r - 2M(r)]} \right\}^2.\end{aligned}$$



$$\lambda = \frac{2}{3} k_2 R^5$$



The Skyrme HF Energy Density Functional

Extended Skyrme Interaction:

$$\begin{aligned}
 v_{i,j} = & t_0(1 + x_0 P_\sigma) \delta(\mathbf{r}) \\
 & + \frac{1}{2} t_1(1 + x_1 P_\sigma) [\mathbf{K}'^2 \delta(\mathbf{r}) + \delta(\mathbf{r}) \mathbf{K}^2] \\
 & + t_2(1 + x_2 P_\sigma) \mathbf{K}' \cdot \delta(\mathbf{r}) \mathbf{K} \\
 & + \frac{1}{6} t_3(1 + x_3 P_\sigma) n(\mathbf{R})^\alpha \delta(\mathbf{r}) \\
 & + iW_0(\boldsymbol{\sigma}_i + \boldsymbol{\sigma}_j) \mathbf{K}' \cdot \delta(\mathbf{r}) \mathbf{K} \\
 & + \frac{1}{2} t_4(1 + x_4 P_\sigma) [\mathbf{K}'^2 n(\mathbf{R})^\beta \delta(\mathbf{r}) + \delta(\mathbf{r}) n(\mathbf{R})^\beta \mathbf{K}^2] \\
 & + t_5(1 + x_5 P_\sigma) \mathbf{K}' \cdot n(\mathbf{R})^\gamma \delta(\mathbf{r}) \mathbf{K}
 \end{aligned}$$

Z. Zhang/LWC, PRC94, 064326 (2016)

LWC/Ko/Li/Xu, PRC82, 024321(2010) Momentum-dependence of many-body forces

13 Skyrme parameters: $\alpha, t_0 \sim t_5, x_0 \sim x_5$

$$\mathcal{H} = \mathcal{K} + \mathcal{H}_0 + \mathcal{H}_3 + \mathcal{H}_{\text{eff}} + \frac{G_S}{2}(\nabla\rho)^2 - \frac{G_V}{2}(\nabla\rho_1)^2$$

$$-\frac{G_{SV}}{2}\delta\nabla\rho\nabla\rho_1 + \mathcal{H}_{\text{Coul}} + \mathcal{H}_{\text{SO}} + \mathcal{H}_{\text{sg}}, \quad (1)$$

13 macroscopic nuclear properties:

$$n_0, E_0, K_0, J_0, E_{\text{sym}}, L, K_{\text{sym}}, m_{s,0}^*, m_{v,0}^*, G_S, G_V, G_{SV}, G'_0$$



$n_0, E_0, K_0, J_0, E_{\text{sym}}, L, K_{\text{sym}}, m_{s,0}^*, m_{v,0}^*, G_S, G_V, G_{SV}, G'_0$

TABLE I. Experimental data for 12 spherical even-even nuclei binding energies E_B [27], charge r.m.s. radii r_c [28–30], ISGMR energies E_{GMR} and its experimental error [31], and spin-orbit energy level splittings ϵ_{ls}^A [32].

^{A_Z}X	E_B (MeV)	r_c (fm)	E_{GMR} (MeV)	ϵ_{ls}^A (MeV)
^{16}O	-127.619	2.6991	...	6.30(1p ν) 6.10(1p π)
^{40}Ca	-342.052	3.4776
^{48}Ca	-416.001	3.4771
^{56}Ni	-483.995	3.7760
^{68}Ni	-590.408
^{88}Sr	-768.468	4.2240
^{90}Zr	-783.898	4.2694	17.81 ± 0.35	...
^{100}Sn	-825.300
^{116}Sn	-988.681	4.6250	15.90 ± 0.07	...
^{132}Sn	-1102.84
^{144}Sm	-1195.73	4.9524	15.25 ± 0.11	...
^{208}Pb	-1636.43	5.5012	14.18 ± 0.11 0.89(3p ν) 1.77(2f ν)	1.32(2d π)

Our Strategy:

- Higher-order \mathbf{J}_0 and \mathbf{K}_{sym} are fixed at various values
- Other lower-order parameters are calibrated to fit data of finite nuclei

Minimizing the Chi-square $\chi^2(\mathbf{p})$:

$$\chi^2(P) = \sum_{n=1}^N \left(\frac{\mathbf{O}_n^{(\text{th})}(P) - \mathbf{O}_n^{(\text{exp})}}{\Delta \mathbf{O}_n} \right)^2$$



28 OCTOBER 2010 | VOL 467 | NATURE | 1081

LETTER

doi:10.1038/nature09466

A two-solar-mass neutron star measured using Shapiro delay

P. B. Demorest¹, T. Pennucci², S. M. Ransom¹, M. S. E. Roberts³ & J. W. T. Hessels^{4,5}

A Massive Pulsar in a Compact Relativistic Binary

John Antoniadis *et al.*

Science 340, (2013);

DOI: 10.1126/science.1233232



Observed heaviest Nstar so far:

PSR J0348+0432

2.01 ± 0.04 solar mass (M_{\odot})

PRL 119, 161101 (2017)

Selected for a Viewpoint in Physics
PHYSICAL REVIEW LETTERS

week ending
20 OCTOBER 2017

GW170817: Observation of Gravitational Waves from a Binary Neutron Star Inspiral

B. P. Abbott *et al.**

(LIGO Scientific Collaboration and Virgo Collaboration)



arXiv:1805.11581

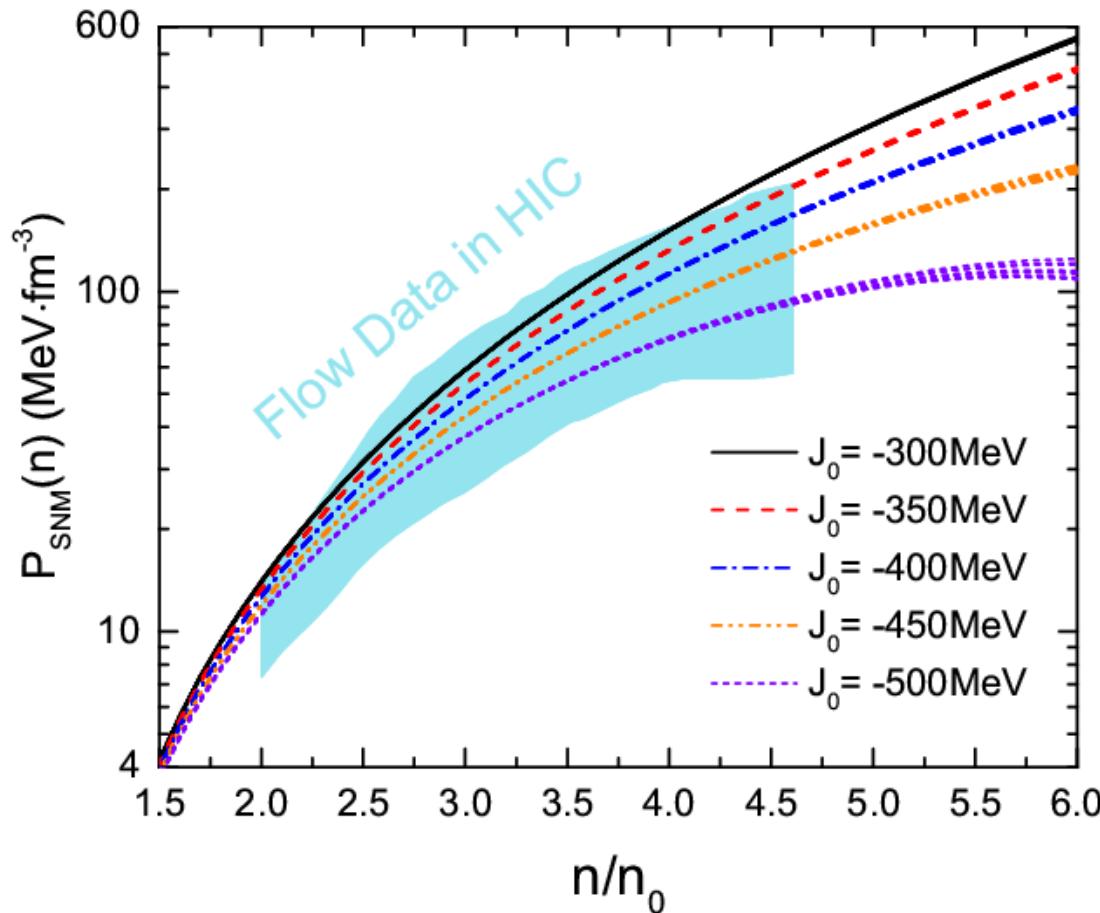
GW170817: Measurements of neutron star radii and equation of state

The LIGO Scientific Collaboration and The Virgo Collaboration
(compiled 30 May 2018)

GW170817 (LIGO/Virgo):
 $\Lambda_{1.4} < 580$

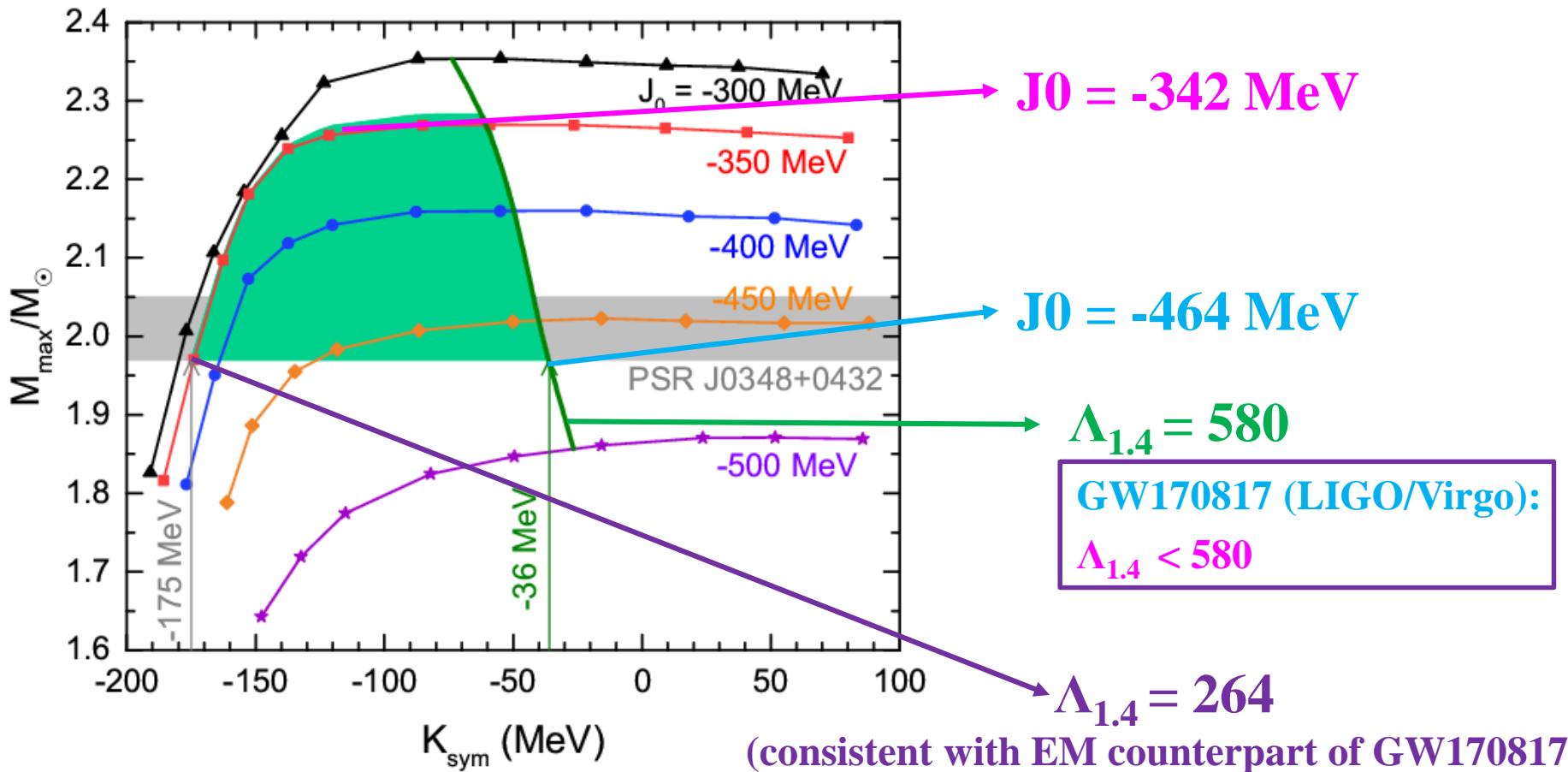


J₀: Flow data



For various J_0
Ksym:[-200, 100] MeV

-550 MeV < J_0 < -342 MeV: Flow Data in HIC



Flow Data in HIC +Mmax:

$K_{\text{sym}} > -175 \text{ MeV}$

$\Lambda_{1.4} > 264$

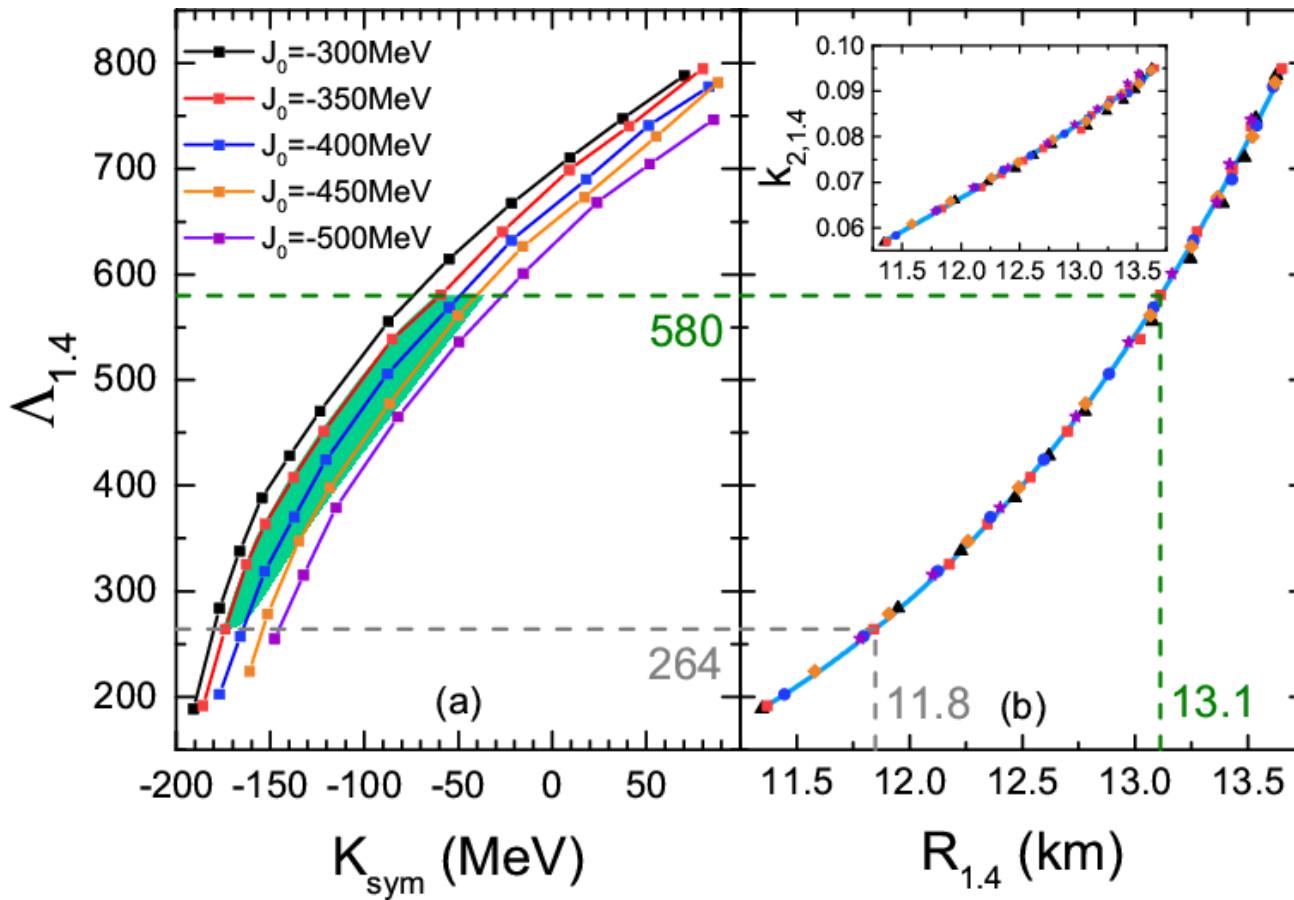
Flow Data in HIC+Mmax+ $\Lambda_{1.4}$:

$-464 \text{ MeV} < J_0 < -342 \text{ MeV}$:

$-175 \text{ MeV} < K_{\text{sym}} < -36 \text{ MeV}$:



R1.4: Flow data, Nstar Mass, Λ



$$\Lambda_{1.4} = (1.24 \pm 0.12) \times 10^{-6} \cdot R_{1.4}^{(7.76 \pm 0.04)}$$

$$k_{2,1.4} = (7.62 \pm 0.53) \times 10^{-5} \cdot R_{1.4}^{(2.73 \pm 0.03)}$$

$$\Lambda = \frac{2}{3} k_2 (R / M)^5$$



Outline

- Nuclear matter EOS and the symmetry energy (Esym)
- High density nuclear matter from neutron star
largest mass + tidal deformability from GW170817
- Summary



Summary

- A lower limit of $\Lambda_{1.4} > 264$ for the tidal deformability of 1.4 solar mass neutron star can be obtained by using the flow data in HIC and the observed largest maximum mass of neutron stars
- The skewness coefficient J_0 of symmetric nuclear matter can be constrained to be $J_0 = [-464, -342]$ MeV by using the flow data in HIC, the observed largest maximum mass of neutron stars, and the tidal deformability from GW170817
- The density curvature parameter K_{sym} of the symmetry energy can be constrained to be $K_{\text{sym}} = [-175, -36]$ MeV by using the flow data in HIC, the observed largest maximum mass of neutron stars, and the tidal deformability from GW170817, ruling out the stiff high density behaviors of symmetry energy.



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谢 谢！
Thanks！

Happy 88th birthday to Professor Akito Arima!

